

JCCG Rec'd PCT/ATO 22 JUL 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>LSP-1013US</b> U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>107543068</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP2004/050030</b>	INTERNATIONAL FILING DATE <b>21 January 2004 (21.01.2004)</b>	PRIORITY DATE CLAIMED <b>24 January 2003 (24.01.2003)</b>
TITLE OF INVENTION <b>AQUEOUS EMULSIONS OF POLYVINYL ESTERS CONTAINING HYDROXYPROPYLGUAR</b>		
APPLICANT(S) FOR DO/EO/US <b>Cristina RINALDI; Marco BONELLI; Mauro PELOSI; Ugo PFEIFFER; Giuseppe LI BASSI</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto.</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A preliminary amendment.</li> <li><input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</li> <li><input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4) <b>Publication WO 2004/065433 with International Search Report</b></li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li><input checked="" type="checkbox"/> Other items or information: <b>1) PCT Request as filed 01/21/2004; 2) Written Opinion</b></li> </ol>		

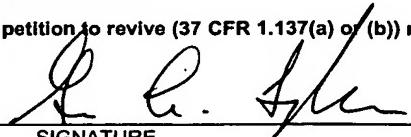
This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>107543068</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP2004/050030</b>	ATTORNEY'S DOCKET NUMBER <b>LSP-1013US</b>
The following fees have been submitted		CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee.....		\$ <b>300</b>	
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... All other situations.....		\$ <b>100</b> \$ <b>200</b>	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... International Search Report prepared and provided to the Office..... All other situations.....		\$ <b>100</b> \$ <b>400</b> \$ <b>500</b>	\$ <b>500.00</b>
<b>TOTAL OF 21, 22 and 23 =</b>		\$ <b>1000.00</b>	
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.			
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE
<b>10</b>	<b>-100 = -0-</b>	<b>/50 = -0-</b>	x \$250
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).		\$ <b>130.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	<b>15</b>	<b>-20 = -0-</b>	x \$ 50
Independent claims	<b>2</b>	<b>-3 = -0-</b>	x \$200
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360	\$ <b>-0-</b>
		<b>TOTAL OF ABOVE CALCULATIONS =</b>	\$ <b>1130.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.			\$ <b>-0-</b>
		<b>SUBTOTAL =</b>	\$ <b>1130.00</b>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		+ \$ <b>-0-</b>	
		<b>TOTAL NATIONAL FEE =</b>	\$ <b>1130.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+ \$ <b>-0-</b>	
		<b>TOTAL FEES ENCLOSED =</b>	\$ <b>1130.00</b>
		Amount to be refunded:	\$ <b>-0-</b>
		Amount to be charged:	\$ <b>-0-</b>
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <b>\$1130.00</b> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>13-00010</b>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.			
SEND ALL CORRESPONDENCE TO:			
<b>Customer No. 24923</b> <b>Madan, Mossman &amp; Sriram P.C.</b> <b>2603 Augusta, Suite 700</b> <b>Houston, Texas 77057</b>		 <b>SIGNATURE</b> <b>Gene L. Tyler</b> <b>NAME</b> <b>35,395 Dated: 22 July 2005</b> <b>REGISTRATION NUMBER</b>	

10/543068

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Date 22 July 2005

International Application No. PCT/EP2004/050030

Attorney Docket No. LSP-1013US

## TRANSMITTAL LETTER TO THE UNITED STATES RECEIVING OFFICE

## I. Certification under 37 CFR 1.10 (if applicable)

EV611808112US

Express Mail mailing number

22 July 2005

Date of Deposit

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of person mailing correspondence

Lee Brevard

Typed or printed name of person mailing correspondence

II.  New International Application

TITLE

Earliest priority date  
(Day/Month/Year)

**SCREENING DISCLOSURE INFORMATION:** In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A.  The invention disclosed was not made in the United States.
- B.  There is no prior U.S. application relating to this invention.
- C.  The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority.)

application no.		filed on	
application no.		filed on	

- D.  The present international application  contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages  and  DOES NOT ALTER  MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15

III.  A Response to an Invitation from the RO/US. The following document(s) is(are) enclosed:

- A.  A Request for An Extension of Time to File a Response
- B.  A Power of Attorney (General or Regular)
- C.  Replacement pages:

pages	of the request (PCT/RO/101)	pages	of the figures
pages	of the description	pages	of the abstract
pages	of the claims		

- D.  Submission of Priority Documents

Priority document		Priority document	
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- E.  Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

IV.  A Request for Rectification under PCT 91     A Petition     A Sequence Listing DisketteV.  Other (please specify): 1) Transmittal Letter Submission Under 35 USC 371 (with attachments); 2) \$1130.00 Check; 3) Return Postcard

The person  
signing this  
form is the:

<input type="checkbox"/> Applicant	GENE L. TYLER
<input checked="" type="checkbox"/> Attorney/Agent (Reg. No.) 35,395	Typed name of signer
<input type="checkbox"/> Common Representative	Signature